



Computational Nanotechnology of Materials, Electronics and Machines: Carbon Nanotubes

Deepak Srivastava
Computational Nanotechnology at NAS/CSC
NASA Ames Research Center, Moffett Field, CA 94035-1000
deepak@nas.nasa.gov; Ph: (650) 604-3486

<http://www.nas.nasa.gov/~deepak/home.html>

Collaborators:

Madhu Menon — University of Kentucky
K. Cho — Stanford University
D. Brenner — NC State University
R. Ruoff — University of Washington, St. Louis

NASA LARC 2000— D. Srivastava



The IPT vision is:



NASA Mission Needs

- Onboard computing systems for future autonomous intelligent vehicles
 - powerful
 - compact
 - low power consumption
 - radiation hard
- High performance computing (Tera- and Peta-flops)
 - processing satellite data
 - integrated space vehicle engineering
 - climate modeling
- Smart, compact sensors
- Light weight displays for space vehicles
- Advanced instrumentation for space astronomy

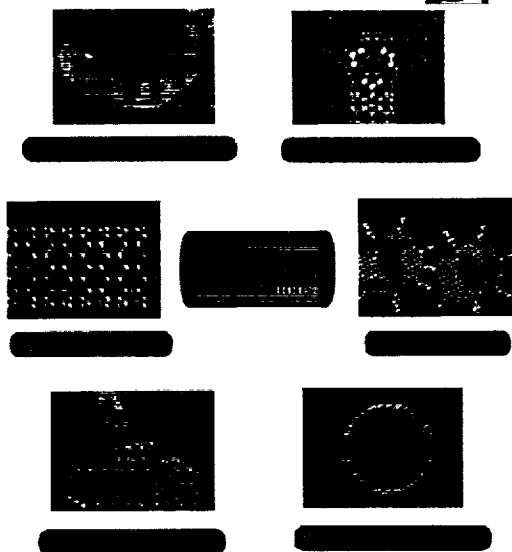


<http://www.ipt.arc.nasa.gov/index.html>

Sno-Workshop— D. Srivastava (2)



Research Focus



2000— D. Srivastava



Techniques



- Large Scale Classical Molecular Dynamics on a Shared Memory Architecture Machine

Tersoff-Brenner reactive many-body potential for hydrocarbons
Long Range (6-12) Van der Waals interactions

Parallel implementation on a shared memory Origin2000 machine

Srivastava and Barnard — IEEE SuperComputing '97

- Quantum Molecular Dynamics Methodology

Tight-binding molecular dynamics in a non-orthogonal atomic basis (GTBMD) method.

Previous Parametrization: Silicon and carbon
M. Menon and K. R. Subbaswamy, Phys. Rev. B (1993-94)

Extended to heteroatomic systems including: C, B, N

M. Menon and D. Srivastava,
Chem. Phys. Lett. Vol. 307, 407 (1999)

2000— D. Srivastava



Technique Development Focus I



Large Scale Classical Molecular Dynamics on a Shared Memory Architecture Machine

- Brenner's reactive many-body potential for hydrocarbons
Long Range (6-12) Van der Waals interactions
- Parallel implementation on a shared memory Origin2000 machine
 - Cell method
 - Spatial Decomposition for Neighborlist
 - Lexical Decomposition for Force Calculations
 - better load balance

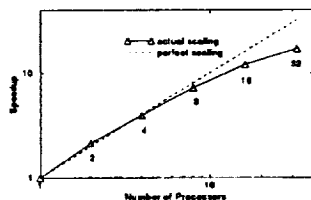


Figure 7: Scaling of the parallel Brenner's potential code on the SGI Origin2000, simulating compression of a four-wall carbon nanotube with 64488 atoms.

D. Srivastava and S. Barnard - IEEE SuperComputing '97 Proc.

2000 D. Srivastava



Technique Development Focus II



Quantum Molecular Dynamics Methodology:

$$U = U_{el} + U_{rep} + U_0$$

$$U_{el} = \text{Sum [one electron energies]}$$

$$U_{rep} = \text{Sum [repulsive pair potential]}_{\text{occupied}}$$

- Non-orthogonal atomic basis GTBMD method

$$\text{Secular Eq. } \det(h_{ij} - E s_{ij}) = 0$$

The forces on an atomic coordinates are given by

$$F_x = -dU/dx$$

Molecular Dynamics : system is dynamically evolved at each time step

Previous Parametrization : Silicon and carbon
M. Menon and K. R. Subbaswamy, Phys. Rev. B (1993-94)

Extends to heteroatomic systems including: Si, C, B, N, and H

2000 D. Srivastava



Research Focus I Nanotube - Nanomechanics/materials

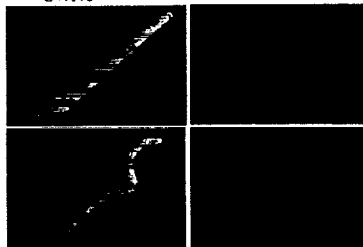


- Nanotubes are extremely strong highly elastic nanofibers
 - high value of Young modulus
steel - 0.2 TPa
swnt - 1.2 TPa
- Dynamic response of nanotubes to ballistic deformation
 - axial compression, bending and torsion
 - comparison between SWNT and MWNT behavior

(Axial Compression)

SWNT

MWNT



- redistribution of strain, and side ways buckling

D. Srivastava et al., Chapter 14, Vol 2, Handbook of Nanostructured Materials and Nanotechnology, Ed. H. S. Nalwa Academic Press, 2000

2000 D. Srivastava

Nanomechanics of Carbon Tubes: Instabilities beyond Linear Response

B. I. Yakobson, C. T. Bruch, and J. Bernholc

Department of Physics, North Carolina State University, Raleigh, North Carolina 27697

(Received 20 September 1997)

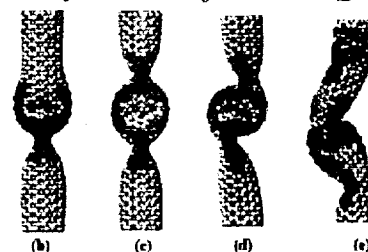
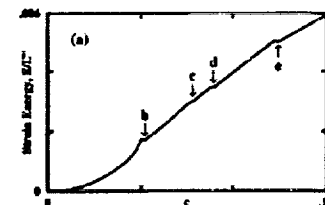


FIG. 1. MD-simulated nanotube of length $L = 6$ nm, diameter $d = 1$ nm, and armchair helicity (7,7) under axial compression. The strain energy (a) displays four singularities

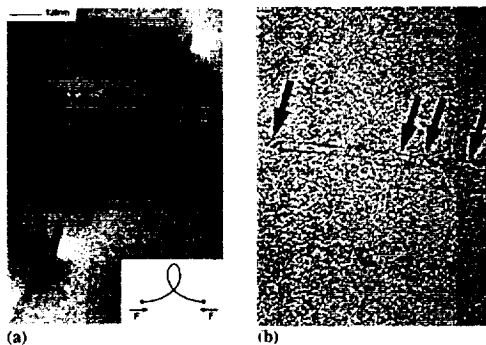
2000 D. Srivastava



Nanotubes in Composites



- Experiment : Buckling and Collapse of Embedded Carbon Nanotubes
O. Lourie et. al. Phys. Rev. Lett. Vol. 81, 1638 (1998).



Under Compressional strain two modes are observed

- (a) - long multi-wall nanotubes behave as elastic rods that buckle, bend and loop
- (b) - thin walled nanotubes locally collapse or fracture rather than buckle

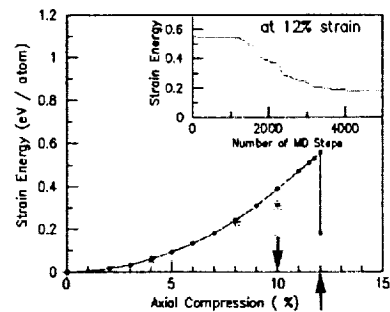
2000 - D. Srivastava



Compressed Nanotubes in Composites



- Energetics of collapse-plasticity of (8,0) CNT at 12% compression strain.



Quantum GTRMD Method
classical atomistic (with Tersoff-Brenner potential)

- Linear response regime ($Y = 1.3$ TPa) followed by pinching/buckling (classical MD) or collapse/plasticity (quantum MD).

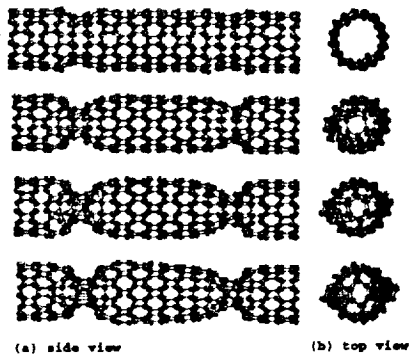
2000 - D. Srivastava



Compressed Nanotubes in Composites



- Spontaneous collapse-plasticity of (8,0) CNT through graphitic (sp^2) to diamond like (sp^3) type transition.



D. Srivastava, M. Menon and K. Cho. Phys. Rev. Lett. Vol. 83, 2973 (1999).

2000 - D. Srivastava



Compressed Nanotubes in Composites



- Comparison with classical atomistic simulation, and a CNT with B point defect.



- With a single B point defect



- Symmetric pinching deformation (elastic) with Brenner potential



2000 - D. Srivastava



CxByNz Nanotubes



- Band gap engineering over a larger range should be possible:

BN ~ 5.5 eV

BC₂N ~ 2.0 eV

C ~ 0 - 1 eV

BC₃ ~ 0.5 eV

~ a variety of junctions, quantum dots and superlattices should be possible

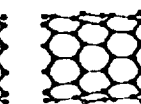
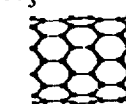
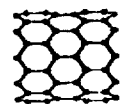
~ should be more robust

- Example: Composite (10,0) nanotube

0.34 eV/atom

0.38 eV/atom

0.37 eV/atom



reconstruction due to polar BN bond

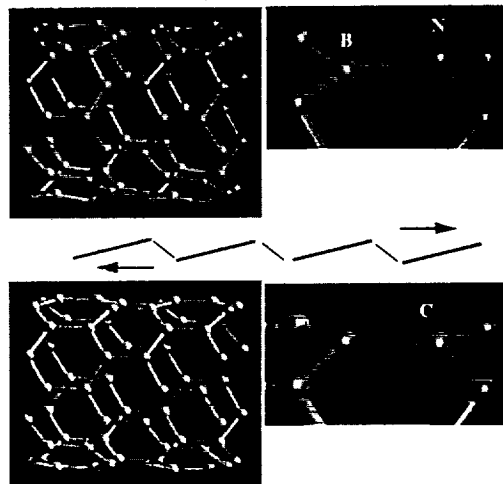
2000 - D. Srivastava



BN Nanotubes - Structure Simulations



- BN bond buckling effect



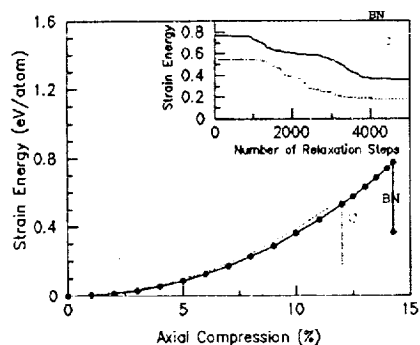
2000 - D. Srivastava



BN Nanotubes - Nanomechanics

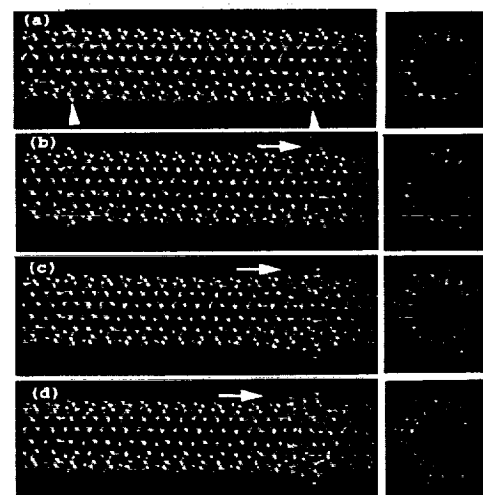


- Young's modulus and plasticity of a compressed BN nanotube.




- Y (BN) = 1.2 TPa ~ BN is 92% as strong as CNT !
Y (C) = 1.3 TPa
- BN nanotube plastically collapses at even higher strain than C nanotube.

2000 - D. Srivastava



Anisotropic Plastic Collapse of BN Nanotube

D. Srivastava, M. Menon and K. Cho, submitted (2000)




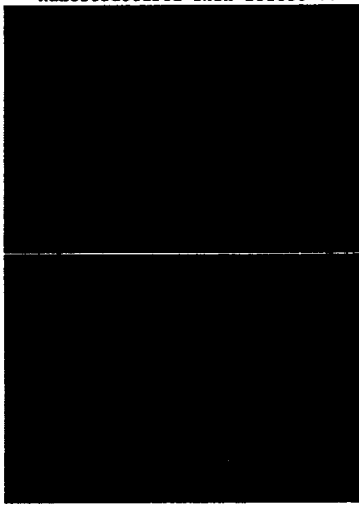
BN Nanotubes - Nanomechanics

Applications II


- BN reinforce composites with anisotropic plasticity

Nanostructured Skin Effect II






2000 - D. Srivastava




Carbon-based Electronics




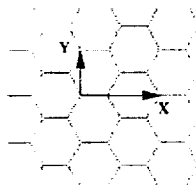
- molecular wires
- topological defect mediated hetero-junctions ~ switching transistors tunneling devices
- C nanotubes doped with B and N
BN nanotubes (insulator ~ 5eV gap)
heterojunctions superlattices
- Combination of the above two ~ to tailor the probable device characteristics
- interconnects - Carbon/metal junctions

2000 D. Srivastava

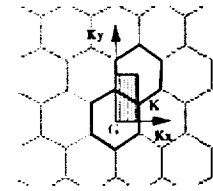


Carbon Nanotube Electronics Band Structure (basics)

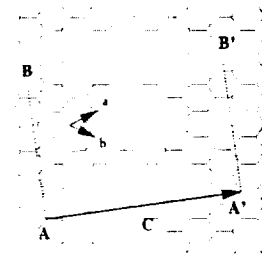




Hexagonal Lattice of a Graphene Sheet - (2x unit cell)




First Brillouin zone for an arm-chair tube




$Ch = n \cdot a + m \cdot b$ (chiral vector)

2000 - D. Srivastava



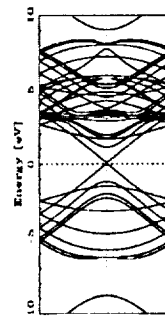
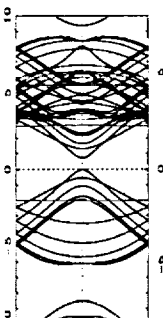
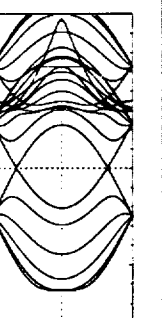
Carbon Nanotube Electronics Band Structure



Electron bands in (9,0) tube

Electron bands in (10,0) tube

Electron bands in (5,5) tube

Wave vector
(9,0) tube

Wave vector
(10,0) tube

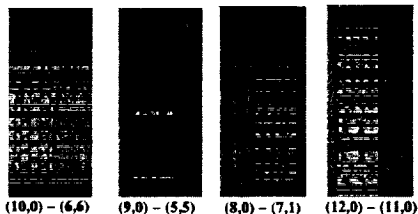
Wave vector
(5,5) tube

Arm chair tubes (n,n) ~ metal like
Otherwise $m-n = 3l$ (l= integer) metal like

2000 - D. Srivastava

2- point Nanotube Heterojunctions

Molecular Electronic Switches



(10,0) - (6,6) (9,0) - (5,5) (8,0) - (7,1) (12,0) - (11,0)

Chico et. al. Phys. Rev. Lett., 96 Semiconductor-Metal
 Charlier et. al. Phys. Rev. B, 96 Semimetal-Metal
 Lambine et. al. Chem. Phys. Lett., 96
 Saito et. al. Phys. Rev. B, 96
 M. Menon and D. Srivastava, J. Mat. Research, 98

We studied the effect of capping the tubes and relaxing the junctions with a quantum GTBMD method.

2000 – D. Srivastava

Vol. 44, No. 22 PHYSICAL REVIEW LETTERS December 1980

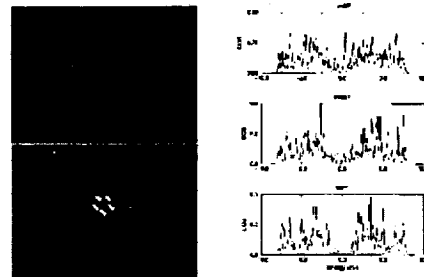


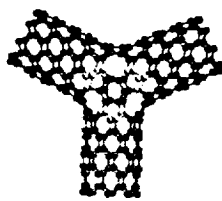
FIG. 1. LDOS for the isolated C_{60} (R0-0.0) molecule. The LDOS of C_{60} was calculated as Fig. 1(a). The large modification of the gap is due to the presence of the outer parts of the molecule protruding outside of the surface.

3-terminal "T-tunnel" Junctions of Nanotubes

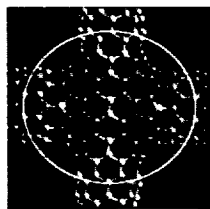
M. Memon and D. Srivastava, Phys. Rev. Lett. Vol 79, 4453 (1997)

2000 - D. Spivakova

Pathways to Two Dimensional Molecular "Networks"



Metal-Semiconductor-Metal "Y" Tunnel Junction



A four-terminal nanotube heterojunction

M. Menon and D. Srivastava, *Phys. Rev. Lett.* (97)
D. Srivastava, S. Saini and M. Menon, *Mol. Elec. Sci and Technol.* (98)
M. Menon and D. Srivastava, *J. Mater. Res.* (98)

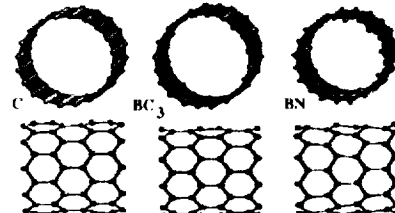
2000 - D. Srivastava

Research Focus III
BxCyNz Composite Nanotubes and Junctions

- **Band gap engineering over a larger range should be possible:**
 - BN ~ 5.5 eV
 - BC₂N ~ 2.0 eV
 - C ~ 0 – 1 eV
 - BC₃ ~ 0.5 eV
- ~ a variety of junctions, quantum dots and superlattices should be possible
- ~ should be more robust

- **Example: Composite (10,0) nanotube**

0.34 eV/atom 0.38 eV/atom 0.37 eV/atom

reconstruction due to
polar BN bond

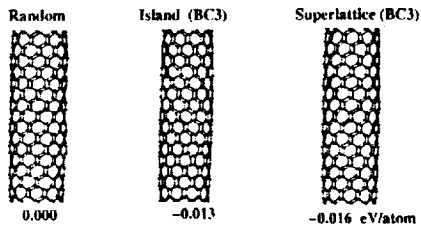
2000 - D. Srivastava



Composite Nanotubes and Junctions

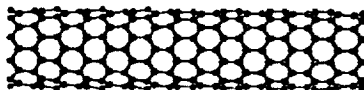


B doping of Carbon Nanotube



phase separation of doped and undoped regions is thermodynamically stable!

BN/C Junctions



Interface Energy = $2 \times \text{BN/C} - \text{BN} - \text{C}$

Interface Energy = 0.33 eV/CB bond

Stable interfaces should be possible!

D. Srivastava and M. Menon, unpublished (1998)

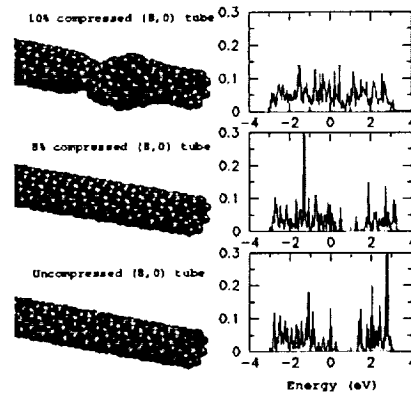
2000 - D. Srivastava



Nano Mechano-Electronics I



Mechanical deformations alter the Electronic Characteristics of Nanotubes



Nano mechano-electronics effects are "strongly" dependent on tube chiralities!

D. Srivastava and M. Menon, unpublished (1998)

2000 - D. Srivastava



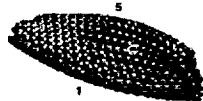
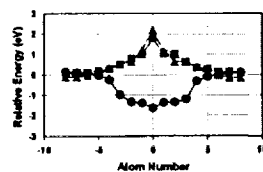
Functionalization of Nanotubes Nano-Mechano-chemistry



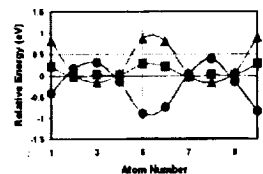
Predictions of enhanced chemical reactivity in regions of local conformational strains: Kinky Chemistry



Kink on a bent tubule



Ridge on a twisted tubule

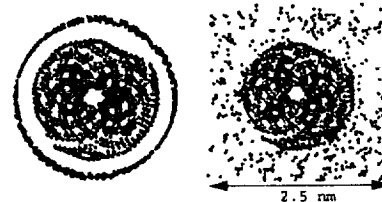


Binding Energy
Cohesive Energy
Electronic Energy

2000 - D. Srivastava



Functionalization of Nanotubes Nano-Mechano-Chemistry



Torsionally twisted SWNT equilibrated in an H₂ bath

2000 - D. Srivastava



Nano Mechano-Chemistry

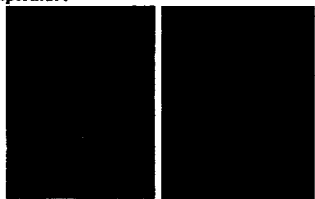


SEM images of MWNTs dispersed on a V-ridge substrate

(a) Before Reaction



(b) Same sample after exposure to nitric acid vapor at room temperature



"Predictions of enhanced chemical reactivity in regions of local conformational strains: kinky chemistry," D. Srivastava, J. D. Schall, D. W. Brenner, K. D. Ausman M. Feng, and R. Ruoff, J. Phys. Chem. Vol. 103, 4330 (99)

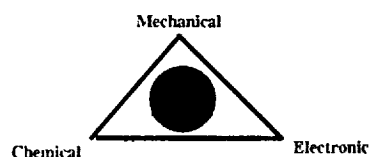
2000 - D. Srivastava



Comments:

Nanotechnology Materials and Applications.

- compressed C nanotubes in composites
- Nanostructured skin effect
Functionality of a smart material
- Nano Electromechanical Sensors (NEMS)
- Components of Molecular Electronics
- mechanical kink catalyzed chemistry
- kinky chemistry



2000 - D. Srivastava